



Middlebury

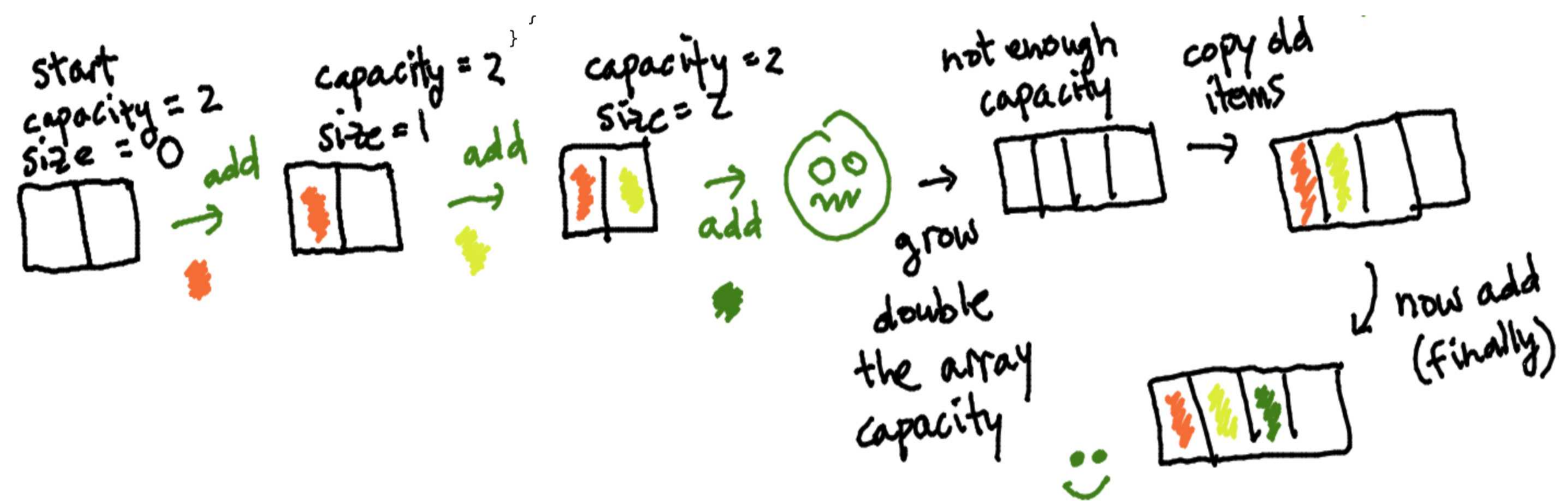
**CSCI 201: Data Structures**

**Fall 2024**

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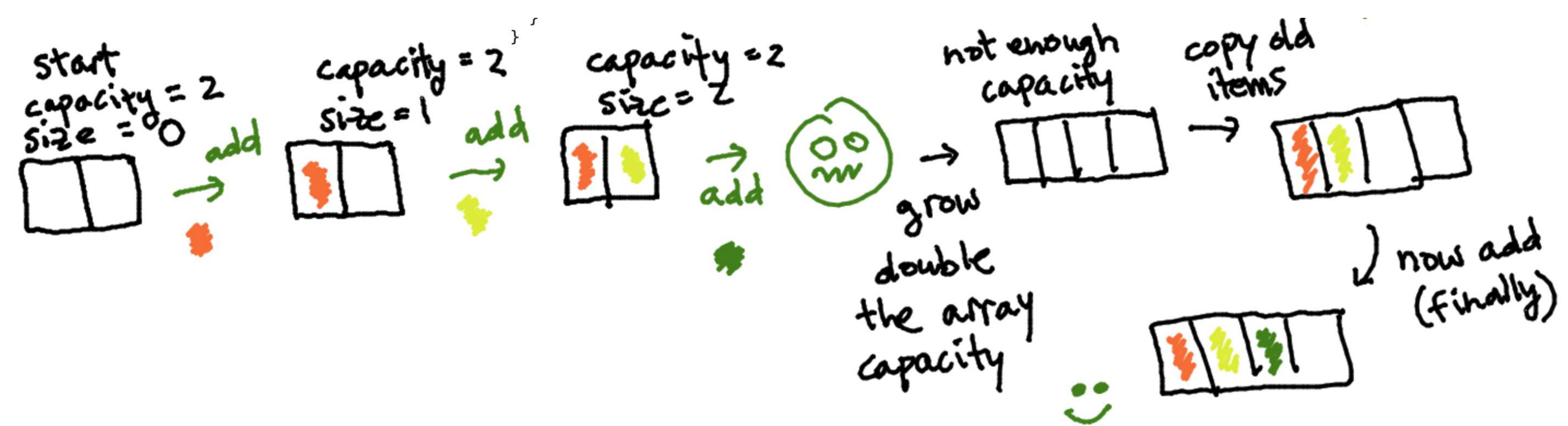
**Lecture 4T: Complexity**

Remember our decision to *double* the capacity of a **DIYList** when we ran out of space during a call to **add**?



## Goals for today:

- Analyze the runtime cost of our **add** method for a **DIYList** as we call it many times.
- Characterize how functions grow as the inputs get really big.
- Use big-oh notation to describe the running time of algorithms.





# Why? Why? Why?

Analyzing our codes will help us put the *SCIENCE* in computer science.



More in CS 200  
(math foundations)



We also want our analysis to be computer-independent.



**Two types of resources to consider:**

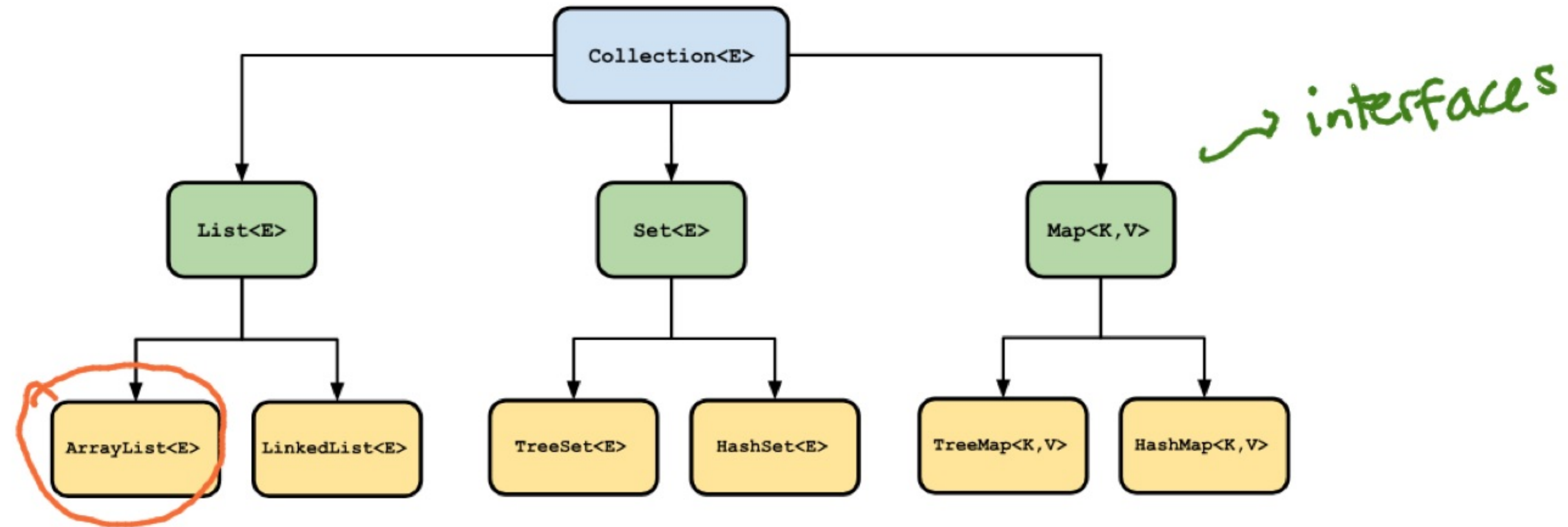
- **Processor cycles:** number of operations per second a machine can perform.
- **Memory:** space for storing data while program is running (RAM, cache).

✓ 2 GHz

16 GB



The **Collections** framework describes the efficiency an implemented method should provide.



The **size**, **isEmpty**, **get**, **set**, **iterator**, and **listIterator** operations run in constant time. The **add** operation runs in amortized constant time, that is, adding  $n$  elements requires  $O(n)$  time. All of the other operations run in linear time (roughly speaking).

## What kinds of things in our programs might affect the runtime?

for-loops

if-statements

comparisons:  $<, <=, >, >=, ==, !=$

logical:  $\&\&, \|\$

assignments:  $=$

arithmetic:  $+, -, *, /, ++, --$

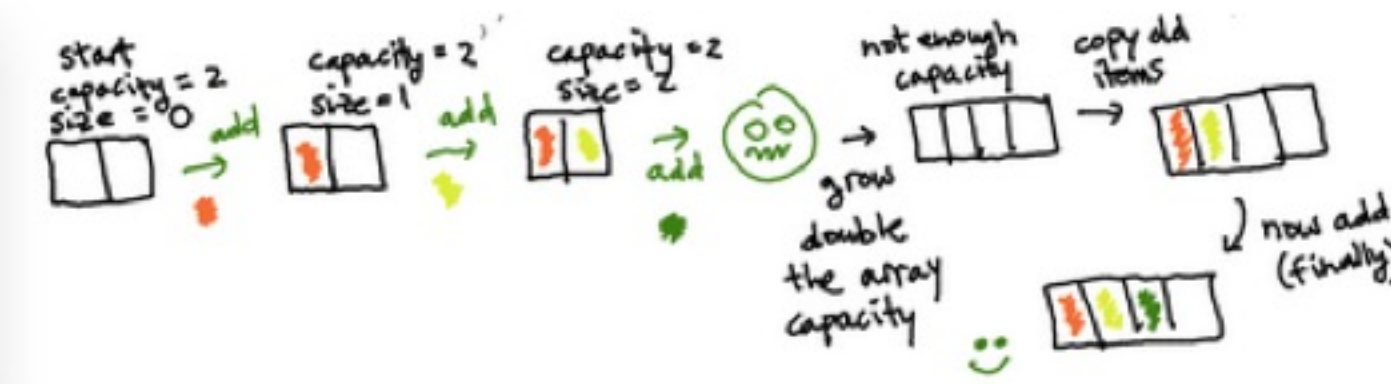


Analyzing how many = we're doing in the **add** method when *doubling* the capacity (as needed). Assume we start with a capacity of **1**.

```
public class DIYList {
    int size; // current number of items actually stored
    String[] items; // capacity is items.length

    public void add(String item) {
        // Is there enough space (capacity, i.e. items.length)?
        // If not, make more space and copy the old items.

        // Place item in items[size] and increment size.
    }
}
```



$$2^m = n - 1$$



$$n = 2^m + 1$$

relationship between #resizes (m) and n?  $1 + 2^1 + 2^2 + 2^3 + \dots + 2^m$  ] geometric series

$$= \frac{2^{m+1} - 1}{2 - 1} = 2^{m+1} - 1 = 2 \cdot 2^m - 1 = 2(n - 1) - 1$$

$$\# = \text{for copy} = 2n - 3$$

$$\# = \text{for } \textcircled{a} = n$$

$$\boxed{\text{total} = 3n - 3}$$

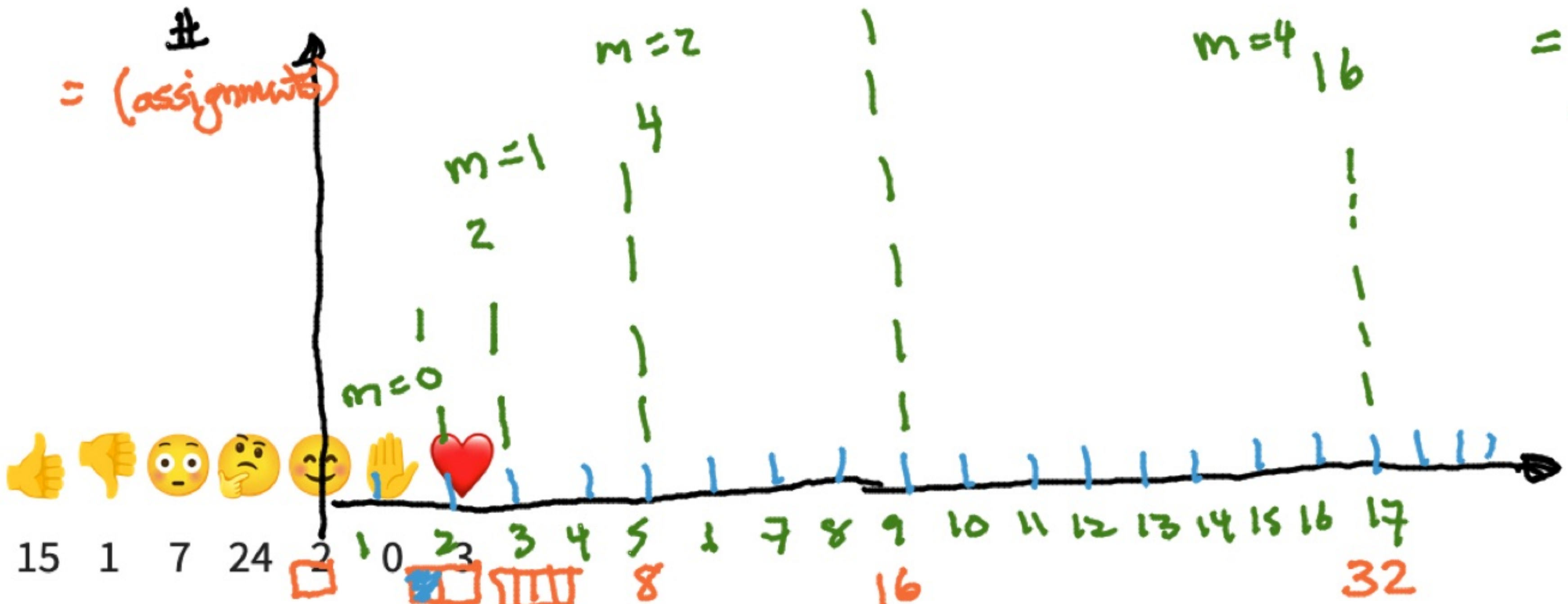
call to add (n), size



what about this?  
(when copying)

$\text{items}[\text{size}] = \text{item}$   
called n times

#  
= (assignments)





## Two types of series we'll encounter:

1. Geometric:  $1 + r + r^2 + r^3 + \dots + r^m = \frac{r^{m+1} - 1}{r - 1}$

here,  
 $r = 2$

2. Arithmetic:  $1 + 2 + 3 + 4 + \dots + n = \frac{n(n+1)}{2}$

derived in CS 206.

So the total number of **=** when calling **add**  $n$  times is:

$$3n - 3$$

comparison  
+1

```
public class DIYList {  
    int size; // current number of items actually stored  
    String[] items; // capacity is items.length  
  
    public void add(String item) {  
        // Is there enough space (capacity, i.e. items.length)?  
        // If not, make more space and copy the old items.  
        // Place item in items[size] and increment size.  
    }  
}
```

size++

+1 +n over all  
n calls

3? 4? 5?

Averaged over  $n$  calls to **add** (with  $n$  getting really big):

$$\frac{3n-3}{n} = 3 - \frac{3}{n} \quad \text{as } n \rightarrow \infty \text{ (big)}$$
$$\frac{3}{n} \rightarrow 0$$

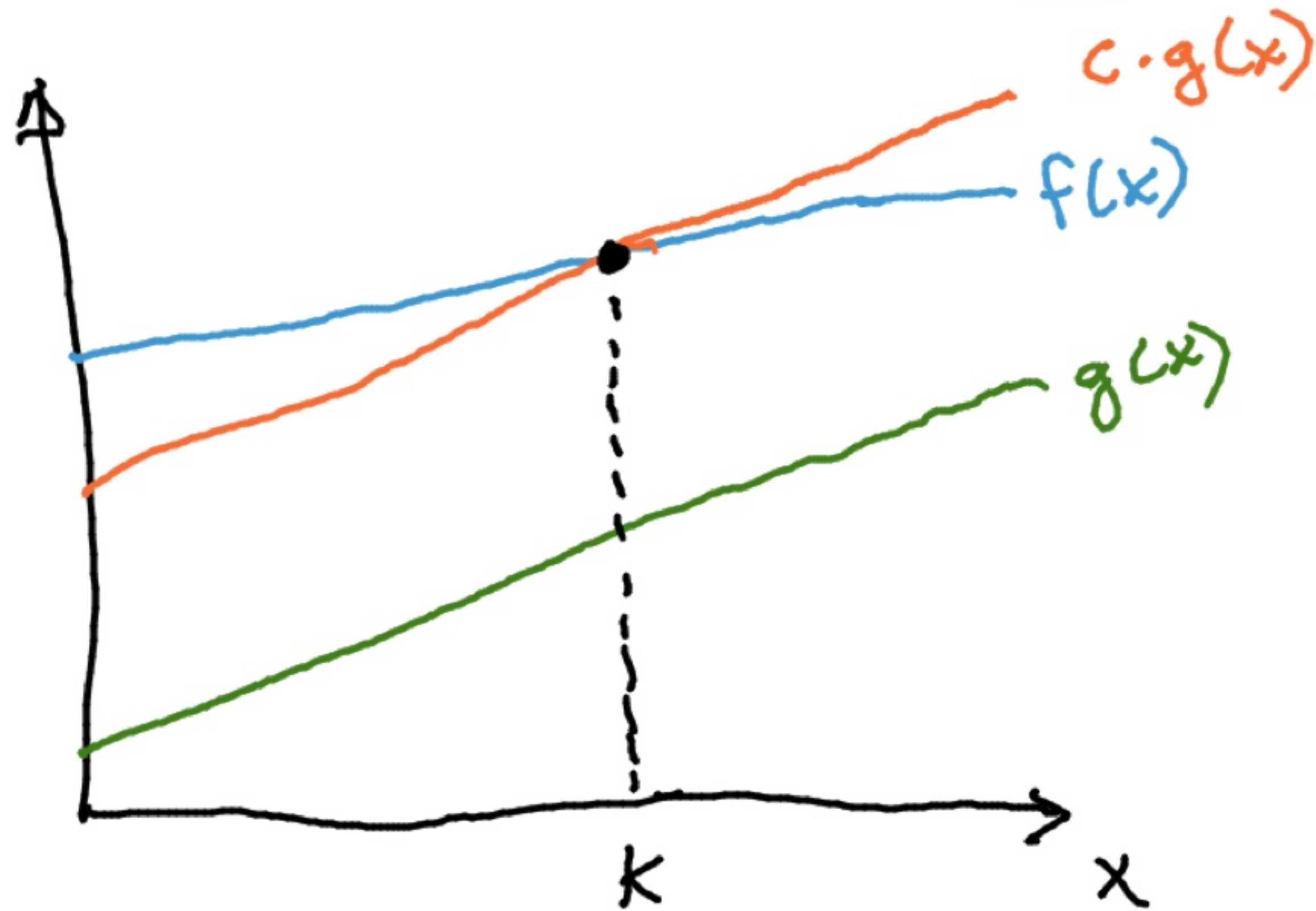
$$\boxed{= 3}$$



We need a better way to analyze running time of algorithms.

**big-oh notation:** Given functions  $f$ ,  $g$ , we say that  $f(x)$  is  $\mathcal{O}(g(x))$  if-and-only-if there exist constants  $c > 0$  and  $k$  such that

$$|f(x)| \leq \underline{c} |g(x)|, \quad \text{for all } x \geq k$$



in words: " $f(x)$  is eventually  
no larger than  
some constant  
multiple of  $g(x)$ "



Example: Show that  $x^2 + 2x + 1$  is  $\mathcal{O}(x^2)$ .

show:  $x^2 + 2x + 1 \leq c \cdot x^2$  (dropping absolute value, assume  $x > 0$ )

rewrite as:  $(c-1)x^2 - 2x - 1 \geq 0$

pick c: let  $c=2$   
 $x^2 - 2x - 1 \geq 0$

find x (\*): try  $x=1$ :  $(1)^2 - 2(1) - 1 = -2 \geq 0$ ? no

try  $x=2$ :  $(2)^2 - 2(2) - 1 = -1 \geq 0$ ? no

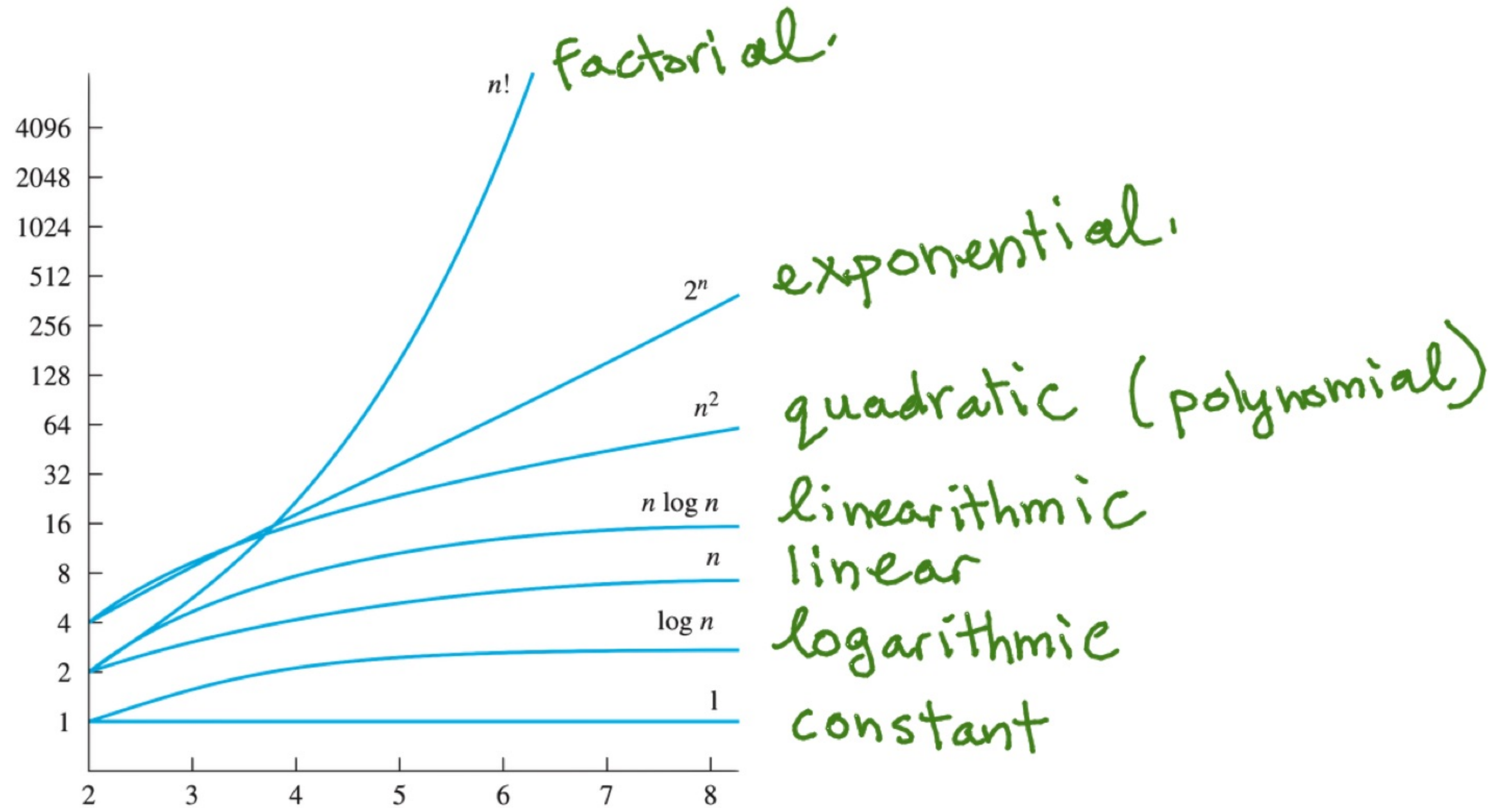
try  $x=3$ :  $(3)^2 - 2(3) - 1 = 2 \geq 0$ ? yes

😊  
found  $c, k$

< >



# Common functions used in big-oh estimates.



(Discrete Mathematics and Its Applications 7th Ed., Rosen)



## We usually want to express our algorithm runtime using the *tightest bound*.

We'll often use  $T(n)$  to represent algorithm runtime in terms of input size  $n$ .

### Strategy:

1. Pick out fastest growing term in  $T(n)$ .
2. Drop coefficients.

e.g.  $1 + 100n^2$

$O(n)$ ? no

$O(n^3)$ ? yes but not tightest bound.

$O(n^2)$ ? yes, and lowest bound

**Exercises: determine a big-oh bound for the following functions.**

1.  $T(n) = 1 + 5n$ :  $O(n)$

2.  $T(n) = 1 + 5n^2$ :  $O(n^2)$

3.  $T(n) = 5 + 20n + 3n^2$ :  $O(n^2)$

4.  $T(n) = \frac{n^2(n^2+1)}{2}$ :  $\frac{n^4+n^2}{2}$   $O(n^4)$

5.  $T(n) = 5$ :  $O(1)$

6.  $T(n) = n(5 + \log n)$ :  $5n + n \log n$   $O(n \log n)$



## A few rules for inferring big-oh bounds on algorithm runtime.

**consecutive statements:**  $T(n) = T(s_1) + T(s_2)$

```
statement1; // performing T(s1) amount of work
statement2; // performing T(s2) amount of work
```

**for loop:**  $T(n) = n \times T(b)$ .

```
for (int i = 0; i < n; i++) {
    // some block performing T(b) amount of work
}
```

**nested for loop:**  $T(n, m) = n \times m \times T(b)$ .

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < m; j++) {
        // some block performing T(b) amount of work
    }
}
```

**if statements:**  $T(n) = T(c) + \max(T(b_i), T(b_e))$

```
if (condition) { // condition performs T(c) amount of work
    body1; // performing T(bi) amount of work
} else {
    body2; // performing T(be) amount of work
}
```

Exercises: determine  $T(n)$  (an expression for the number of operations performed by the following algorithms), then provide a big-oh bound on  $T(n)$ .

focus on counting ++

Example 1:

```
int sum = 0;
for (int i = 0; i < n; i++) {
    for (int j = 0; j < m; j++) {
        sum++;
    }
}
```

$n \times m \times 1$   $n \times m$

$$T(n, m) = 2nm + n$$

$$O(nm)$$

Example 2:

```
int sum = 0;
for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        for (int k = 0; k < m; k++) {
            sum++;
        }
    }
}
```

$n^2m$

$$T(m, n) = 2n^2m + n^2 + n$$

$$O(n^2m)$$

Example 3:

```
int sum = 0;
for (int i = 0; i < n; i++) {
    for (int j = 0; j <= i; j++) {
        sum++;
    }
}
```

|          |     |
|----------|-----|
| $i=0$    | 1   |
| $i=1$    | 2   |
| $i=2$    | 3   |
| $i=3$    | 4   |
| $\vdots$ |     |
| $i=n-1$  | $n$ |

add these together (arithmetic series)

$$\frac{n(n+1)}{2}$$

$$O(n^2)$$





## See you on Thursday!

- We'll use what we covered today to analyze some sorting algorithms.
- Get started on Homework 3! Implement your own `DIYArrayListString`.
- Reminder that Noah ([go/noah](https://go.noah)) and Smith ([go/smith](https://go.smith)) have office hours throughout the week and the 201 Course Assistants have drop-in hours in the late afternoons/evenings ([go/cshelp](https://go.cshelp)).
- Submit exit ticket 4T today.